

#99AY 288501
PATENT
Attorney Docket No. 4294US (98-1208)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown Group Art Unit No.: 2825
Applicant(s): Whitman et al. Filing date: April 4, 2000
Serial No.: 09/542,783 For (title): SPIN COATING FOR MAXIMUM FILL CHARACTERISTIC YIELDING A PLANARIZED THIN FILM SURFACE

COMMUNICATION TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☒ Preliminary amendment.
- ☐ Amendment or other communication in response to the non-final office action mailed.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☒ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.
- ☒ Letter to Chief Draftsman and copy of FIGS. 1-4, 7 and 14 with changes made in red.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	SEXTA
Total claims	101	-	101 =	0 x 18.00 =
Indep. claims	7	-	7 =	0 x 78.00 =
First presentation of a multiple dep. claim (+260.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				0.00

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Brick G. Power

Brick G. Power
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Date: January 31, 2001
Enclosures: As identified above
BGP/djp



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Whitman et al.

Serial No.: 09/542,783

Filed: April 4, 2000

For: SPIN COATING FOR MAXIMUM FILL
CHARACTERISTIC YIELDING A
PLANARIZED THIN FILM SURFACE

Examiner: Unknown

Group Art Unit: 2825

Attorney Docket No.: 4294US (98-1208)

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January 31, 2001
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Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra Pfeil
Typed/printed name of person whose signature is contained above

LETTER TO THE CHIEF DRAFTSMAN

Box Amendment - No Fee
Commissioner for Patents
Washington, D.C. 20231

Sir:

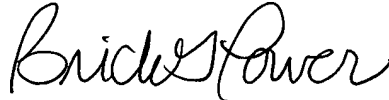
Applicants submit herewith revised FIGS. 1-4, 7 and 14 which correct errors in the drawings. Specifically, FIG. 1 has been revised to add the designation "Prior Art"; FIGS. 2-4 have been revised to add the reference numeral 15 with appropriate lead line; FIG. 7 has been revised to add reference numerals 32 and 34 with appropriate lead lines and also the lead lines for reference numerals 24 and 37 have been extended for clarity; and FIG. 14 has been revised to add the reference numeral 51 with appropriate lead line.

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Serial No.: 09/542,783

No new matter has been added. Approval of the proposed revisions is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script that reads "Brick G. Power".

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Date: January 31, 2001

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